SUTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. P00198US2

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

| Fransmitted herewith for filing under | 35 U.S.C. | 111(a) and 3 | 7 C.F.R. | 1.53(b) is a new | utility patent | application for | an |
|---------------------------------------|-----------|--------------|----------|------------------|----------------|-----------------|----|
| nvention entitled: | | | | | | | |

| | mitted tion en | | | filing under 35 | U.S | .C. 111(a) | and 37 C.F.R. 1.5 | 53(t | o) is a new utility patent ap | plication for an |
|------------------|---|---|--|-----------------|--------------|------------------|---------------------|------------|-------------------------------|------------------|
| DE | DEVICE AND METHODS FOR AUTOMATED SPECIMEN PROCESSING | | | | | | | | | |
| and invented by: | | | | | | 37 017 012 | | | | |
| Kr | ishan l | L. Ka | ira, et a | l. | | | | | | 2238 |
| If a C | ONTI | NUA | TION AI | PPLICATION, | chec | k appropri | iate box and supply | ly tl | he requisite information: | |
| × | Contir | nuatio | on 🗆 | Divisional | $\dot{\Box}$ | Continua | ation-in-part (CIP) | P) | of prior application No.: | 09/816,849 |
| Whic | h is a: | | | | | | | | | |
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| | Conti | nuați | on ⊔ | Divisional | | Continua | ation-in-part (CIP) | P)· | of prior application No.: | |
| Enclo | osed a | re: | | | | | | | | |
| | | | | | | Appli | cation Elements | | | |
| 1. | | Filing | fee as | calculated an | d trar | nsmitted as | s described below | | | |
| 2. | × | Spec | ification | n having | | 50 | pages and | d ind | cluding the following: | |
| | | | | | | | | | | |
| | a. Descriptive Title of the Invention | | | | | | | | | |
| | b. Cross References to Related Applications (if applicable) | | | | | | | | | |
| | C. | c. Statement Regarding Federally-sponsored Research/Development (if applicable) | | | | | | | | |
| | d. | ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix | | | | | | | | |
| | e. | \boxtimes | Background of the Invention | | | | | | | |
| | f. | × | Brief Summary of the Invention | | | | | | | |
| | g. | X | Brief Description of the Drawings (if filed) | | | | | | | |
| | h. | × | Detailed Description | | | | | | | |
| | i. | × | Claim(s) as Classified Below | | | | | | | |
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UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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| Application Elements (Continued) | | | | | | | | | | |
|----------------------------------|---------------------------|---|--|--|--|--|--|--|--|--|
| 3. | \boxtimes | Drawing(s) (when necessary as prescribed by 35 USC 113) | | | | | | | | |
| | a. | □ Formal Number of Sheets | | | | | | | | |
| | b. | ☑ Informal Number of Sheets 21 | | | | | | | | |
| 4. | X | Oath or Declaration | | | | | | | | |
| | a. | ☐ Newly executed (original or copy) ☐ Unexecuted | | | | | | | | |
| | b. | ☑ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) | | | | | | | | |
| | C. | ☐ With Power of Attorney ☑ Without Power of Attorney | | | | | | | | |
| | d. | DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). | | | | | | | | |
| 5. | × | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | | | | | |
| 6. | | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | | | | |
| 7. 8. | | | | | | | | | | |
| | a. | □ Computer Readable Form (CFR) | | | | | | | | |
| | b. | ☐ Specification Sequence Listing on: | | | | | | | | |
| | | i. | | | | | | | | |
| | | ii. 🔲 Paper | | | | | | | | |
| | C. | ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy | | | | | | | | |
| | | Accompanying Application Parts | | | | | | | | |
| 9. | | Assignment Papers (cover sheet & document(s)) | | | | | | | | |
| 10. | | 37 CFR 3.73(B) Statement (when there is an assignee) | | | | | | | | |
| 11. | | English Translation Document (if applicable) | | | | | | | | |
| 12. | | Information Disclosure Statement/PTO-1449 | | | | | | | | |
| 13. | | Preliminary Amendment | | | | | | | | |
| 14. | \boxtimes | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | | | | |
| 15. | | Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | | | | |
| 16. | 6. Certificate of Mailing | | | | | | | | | |
| | | ☐ First Class ☒ Express Mail (Specify Label No.): EV 350733599 US | | | | | | | | |
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UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. P00198US2

Total Pages in this Submission

| | Accompanying Application Parts (Continued) | | | | | | | |
|-----|--|--|--|--|--|--|--|--|
| 17. | × | Applicant claims small entity status. See 37 CFR 1.27. | | | | | | |
| | | ☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: | | | | | | |
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| 18. | | Additional Enclosures (please identify below): | | | | | | |
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| | | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2) | | | | | | |
| 19. | | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application. | | | | | | |
| | Warning | | | | | | | |
| | | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. | | | | | | |
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J.S. PTC

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Total Pages in this Submission

Fee Calculation and Transmittal

CLAIMS AS FILED

For #Filed #Allowed #Extra Rate Fee \$315.00 **Total Claims** 55 - 20 = 35 \$9.00 7 \$172.00 Indep. Claims 4 \$43.00 - 3 = х \$0.00 Multiple Dependent Claims (check if applicable) \$375.00 **BASIC FEE** \$0.00 OTHER FEE (specify purpose) **TOTAL FILING FEE** \$862.00 ☐ A check in the amount of to cover the filing fee is enclosed. ☐ The Director is hereby authorized to charge and credit Deposit Account No. as described below. ☐ Charge the amount of as filing fee. ☐ Credit any overpayment. ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

Dated: January 22, 2004

pursuant to 37 C.F.R. 1.311(b).

Signature

James C. Weseman, Esq. (Reg. No. 30,507) The Law Offices of James C. Weseman

401 West A Street, Suite 1600 San Diego, California 92101

Tel: (619) 531-0950 Fax: (619) 531-0999

Email: LawIP@pacbell.net

CC:

| CERTIFICATE OF | Docket No. | | | | | | | |
|---|--|----------------------------------|----------------------------|--|--|--|--|--|
| Applicant(s): Krishan L | P00198US2 | | | | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | | | | |
| Seliai No. | r illing bate | Alexander, Lyle | 1743 | | | | | |
| | | Alexander, Lyle | 1743 | | | | | |
| Invention: DEVICE AND METHODS FOR AUTOMATED SPECIMEN PROCESSING | | | | | | | | |
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| I hereby certify that the | e following correspondence: | | | | | | | |
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| is being deposited with | the United States Postal Service | e "Express Mail Post Office to A | ddressee" service under 37 | | | | | |
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| CFR 1.10 in an envelo | pe addressed to. Commissioner | for Patents, P.O. Box 1450, Alex | anuna, VA 22313-1430 011 | | | | | |
| | January 22, 2004 | | | | | | | |
| | (Date) | | | | | | | |
| | | Lillian M. Mont | | | | | | |
| | (Typed or Printed Name of Person Mailing Correspondence) | | | | | | | |
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